

Docket No.: 043888-0325

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277
Tsutomu OHZUKU, et al. : Confirmation Number: 3395
Application No.: 10/506,298 : Group Art Unit: 2838
Filed: September 01, 2004 : Examiner: Not yet assigned

For: POSITIVE ELECTRODE ACTIVE MATERIAL, PRODUCTION METHOD THEREOF
AND NON -AQUEOUS ELECTROLYTE SECONDARY BATTERY

REQUEST FOR CORRECTED FILING RECEIPT

Mail Stop COFR
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Assignee information was omitted. Attached are copies of the Assignment and Recordation cover sheet, which evidence that the information for the two Assignees should read: MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD. and OSAKA CITY. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Michael E. Bogarty
Registration No. 36,139

600 13th Street, N.W.
Washington, DC 20005-3096
Phone: 202.756.8000 MEF:aph
Facsimile: 202.756.8087
Date: May 17, 2005

**Please recognize our Customer No. 20277
as our correspondence address.**

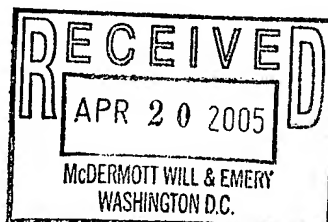


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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/506,298	09/01/2004	2838	1010	43888-325	25	25	2

20277
 McDERMOTT WILL & EMERY LLP
 600 13TH STREET, N.W.
 WASHINGTON, DC 20005-3096



CONFIRMATION NO. 3395

FILING RECEIPT



OC000000015709462

Date Mailed: 04/14/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Tsutomu Ohzuku, Kitakatsuragi-gun, JAPAN;
 Hiroshi Yoshizawa, Hirakata-shi, JAPAN;
 Masatoshi Nagayama, Hirakata-shi, JAPAN;
 Hizuru Koshina, Neyagawa-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 20277.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/01997 02/24/2003

Foreign Applications

JAPAN 2002-56480 03/01/2002
 JAPAN 2002-129134 04/30/2002

Projected Publication Date: 07/21/2005

Non-Publication Request: No

Early Publication Request: No

Title

Anode active material, manufacturing method thereof, and non-aqueous electrolyte secondary battery

Preliminary Class

320

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

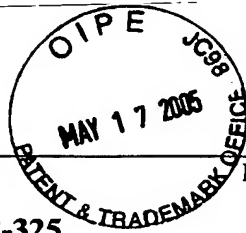
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



FORM PTO-1595
(Rev. 10/02)

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Docket No.: 43888-325

PATENTS ONLY

To the Honorable Commissioner for Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of Conveying Party(ies):

Tsutomu OHKUKU, Hiroshi YOSHIKAWA, Masatoshi
NAGAYAMA and Hizuru KOSHINA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: June 9; June 9; June 9 and June 14, 2004
(respectively)

2. Name and address of receiving party(ies):

Name: (1) Matsushita Electric Industrial Co., Ltd.
(2) Osaka City
Address: (1) 1006, Oaza Kadoma,
(2) 3-20, Nakanoshima 1-chome, Kita-ku
(1) Kadoma-shi, Osaka, Japan 571-8501
(2) Osaka-shi, Osaka, Japan 530-8201

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If the document is being filed together with a new application, the execution date of the application is: June 9, 2004

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: MCDERMOTT WILL & EMERY LLP
Internal Address:

Street Address: 600 13th Street, N.W.

City: Washington State: D. C. Zip: 20005-3096

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:
500417

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael E. Fogarty, Registration No. 36,139

September 1, 2004

Name and Registration No. of Person Signing

Signature

Date

Total number of pages including cover sheet: 3

OMB No. 0651-0027 (exp. 6/30/2005)

Attorney Docket No.: _____

ASSIGNMENT

WHEREAS, Tsutomu OHZUKU, Hiroshi YOSHIZAWA, Masatoshi NAGAYAMA and
Hizuru KOSHINA
hereinafter called the "Assignors," have jointly invented a new and useful invention entitled
POSITIVE ELECTRODE ACTIVE MATERIAL, PRODUCTION METHOD THEREOF AND
NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY
for which they have:

- (a) filed an application for United States Letters Patent on
_____ as (Serial No. _____); or
- (b) executed an application for United States Letters Patent on
_____ ; or
- (c) filed a provisional application on _____
as (Serial No. _____); and

WHEREAS, Matsushita Electric Industrial Co., Ltd. and Osaka City, corporations
organized and existing under the laws of Japan, having places of business at:
1006, Oaza-Kadoma, Kadoma-shi, Osaka 571-8501 Japan and 3-20, Nakanoshima
1-chome, Kita-ku, Osaka-shi, Osaka 530-8201 Japan, respectively,
hereinafter called the "Assignees," are desirous of acquiring the entire right, title and
interest in and to said invention, the application above identified, and in, to and under
any Letters Patent which may be obtained to said invention, as hereinafter more fully
set forth;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that for and in
consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient
considerations, the receipt of which by said Assignors from the said Assignee is hereby
acknowledged, the said Assignors have sold, assigned and transferred, and by these presents
do sell, assign and transfer unto the said Assignee, the entire, right, title and interest for the
United States in and to the invention and application hereinabove identified, and any Letters
Patent of the United States that may issue for said invention, together with the entire right, title
and interest in and to said invention and applications for Letters Patent and Letters Patent
therefor, in all countries foreign to the United States, including the full right to claim for any such
application all benefits and priority rights under any applicable convention; to have and to hold for
the sole and exclusive use and benefit of the said Assignee, its successors and assigns, to the
full end of the term or terms for which any and all of said Letters Patent for said inventions may
issue.

And the said Assignors do hereby covenant and agree, for themselves and their legal representatives, that they will assist the said Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the said Assignee may elect to make covering the invention herein identified, as hereinabove set forth; in vesting in the said Assignee like exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patent herein contemplated; and that they will execute and deliver to the said Assignee any and all additional papers which may be requested by the said Assignee to fully carry out the terms of this Assignment.

The undersigned hereby grant(s) the attorneys of McDermott, Will & Emery LLP the power to insert on this Assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue Letters Patent to the said Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the said Assignors have hereunto set their hands and affixed their seal.

Date: June 9, 2004
(Seal)

Tsutomu Ohzuku
Tsutomu OHZUKU

Date: June 9, 2004
(Seal)

Hiroshi Yoshizawa
Hiroshi YOSHIZAWA

Date: June 9, 2004
(Seal)

Masatoshi Nagayama
Masatoshi NAGAYAMA

Date: June 14, 2004
(Seal)

Hizuru Koshina
Hizuru KOSHINA